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Technology Center 2800

**FAX**

INNOVATIVE MATERIALS, INC.

C/O WALTER SMALL

930 FIFTH AVENUE • SUITE 5E

NEW YORK, NEW YORK 10021

PHONE: (212) 439-6999 • FAX: (212) 439-9338

*1 of letter  
Shues a  
11/19/02*

TO: Wynette Stupor  
States Patent and Trademark Office  
Service Center, Technology Center 2800

RECIPIENT FAX: (703) 306-5515

TOTAL PAGES PLUS THIS COVER: 8

DATE: November 16, 2002

RE: Patent Application 10/002,789

The following constitutes the letter faxed to Larry J. Guffey, Esquire, regarding patent application 10/002,789.


Please note the following:

**Enclosure 1:** Establishes the fact that Todd Schlesinger resigned from Advanced Surface Engineering, Inc. (ASE) and from Innovative Materials, Inc. (IMI) on 5/21/02.

**Enclosure 2:** Documents the assignment of patent application 10/002,789 from ASE to IMI on 5/22/02, the day following Todd Schlesinger's resignation from ASE and IMI.

**Enclosures 3-5:** Document that the assignment of application 10/002,789 to IMI was filed with the USPTO on 5/29/02, together with the substitute drawings.

**Enclosure 6:** The patent abstract of title indicates that the request for change was recorded on 6/01/02.

 Under these circumstances, only Innovative Materials, Inc. can choose its correspondent. Therefore, I request that Walter Small be named Correspondent instead of Larry Guffey.

Todd Schlesinger is the inventor only; but Innovative Materials, Inc. is owner of patent application 10/002,789 and materials claimed therein.

Please update your records to reflect these changes.  
Thank you for your prompt attention.

Sincerely,



Walter Small

**INNOVATIVE MATERIALS, INC.**

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NEW YORK, NEW YORK 10021  
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Technology Center 2800

November 15, 2002

Larry J. Guffey, Esq.  
World Trade Center, Suite 1800  
401 East Pratt Street  
Baltimore, Maryland 21202

Dear Mr. Guffey:

Re: United States Patent Application 10/002,789

Notification has reached me from counsel that you have been retained to take over administration of United States Patent Application 10/002,789, filed 1/31/01.

Please be advised that Innovative Materials, Inc., a publicly owned company, is currently the rightful owner of patent application 10/002,789, and has neither desired your services to administer this patent filing nor authorized you to represent Innovative Materials, Inc. in administering the filing with the US Patent and Trademark Office.

Please also be advised that no other individual(s) or business entity other than Innovative Materials, Inc. can claim ownership of any materials covered in patent application 10/002,789, or attempt to conduct any business, legal or otherwise, involving this patent application by representing themselves as owner of materials comprising patent application 10/002,789.

At this time, Innovative Materials, Inc. has notified only the US Patent and Trademark Office of this possible misrepresentation. Further, we are confident that the USPTO's recorded assignment of this patent application from Advanced Surface Engineering, Inc. (ASE) to Innovative Materials, Inc. on 5/22/02, and the material claimed therein, plus other pertinent documentation,\* will affirm Innovative Materials, Inc.'s legal ownership of the patent application.

It is my hope that you will respond accordingly to resolve this matter. If you have any questions, please do not hesitate to contact me.

Sincerely,



Walter Small  
President

\*Enclosures: (6)  
cc: US Patent and Trademark Office



Todd Schlesinger  
4455 Cherry Tree Lane  
Sykesville, MD 21784

**FXED**5/21/02

May 21, 2002

Mr. Walter Small  
930 5th Ave #5B  
NY, NY 10021

Re: Resignation from Being a Director and Officer of ASE, Inc. and Innovative Materials, Inc.

Dear Wally:

Please consider this letter as my letter of resignation from my positions as an executive officer and director of both ASE, Inc. and Innovative Materials, Inc. This resignation is effective immediately.

You have indicated that you are ceasing operations in ASE, Inc. and transferring its assets to its parent corporation, Innovative Materials, Inc. As you know, I have not been paid wages and other substantial monies owed me by ASE, Inc. Because of these actions, I do not see any reason to continue in any capacity with either ASE, Inc. and/or Innovative Materials, Inc.

Under cover of a separate letter that I am sending today, I am requesting payment of monies owed me for my wages, contract payments and reimbursable expenses that I have not been paid and that I have incurred while working for ASE, Inc. This letter is not meant to prejudice or compromise in any way my right to receive immediate payment of these amounts.

I am willing to continue with transition of ASE, Inc. and its assets to the parent company in your home in New York. Please let me know how I can assist.

With kindest regards, I remain  
Very Truly Yours,

Todd Schlesinger

10/11/2002 13:01 FAX

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[www.uspto.gov/patent/assignment/assignment.jsp?db=pat&app=10002789](http://www.uspto.gov/patent/assignment/assignment.jsp?db=pat&app=10002789)

6

**Patent Assignment Abstract of Title****Total Assignments:** 1**Application #:** 10002789**Filing Dt:** 11/26/2001**Patent #:** NONE**Issue Dt:****PCT #:** NONE**Publication #:** NONE**Pub Dt:****Inventor:** Todd Evan Schlesinger**Title:** Musical Instrument strings with polymer treated surface**Assignment:** 1**Reel/Frame:** 012978/0597**Received:**  
06/14/2002**Recorded:**  
06/06/2002**Mailed:**  
08/13/2002**Pages:** 2**Conveyance:** ASSIGNMENT OF ASSIGNORS INTEREST (SEE DOCUMENT FOR DETAILS).**Assignor:** ADVANCED SURFACE ENGINEERING, INC.**Exec Dt:** 05/22/2002**Assignee:** INNOVATIVE MATERIALS, INC.

930 FIFTH AVE - SUITE 5E

NEW YORK, NEW YORK 10021

**Correspondent:** MAX STUL OPPENHEIMER

PO BOX 50

STEVENSON, MD 21153

Search Results as of: 10/11/2002 2:01:53 P.M.

If you have any comments or questions concerning the data displayed, contact OPR / Assignments at 703-308-9723  
Web Interface last modified: Oct. 5, 2002

**COVER PAGE**

**TITLE:** MUSICAL INSTRUMENT STRINGS WITH POLYMER TREATED SURFACE

**INVENTORS:** TODD EVAN SCHLESINGER

**CITIZENSHIP:** US

**ASSIGNEE:** Advanced Surface Engineering, Inc.

**APPLICATION NO.:** 10/002,789

**FILING DATE:** January 31, 2001

**PATENT ATTORNEY:**

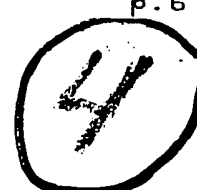
MAX STUL OPPENHEIMER (Reg 33,203)  
P.O. Box 50  
Stevenson, MD 21153  
USA

(410) 494-4237

**ATTORNEY DOCKET #:** ASE200101PR

**PAPERS:** SUBSTITUTE DRAWINGS IN RESPONSE TO  
INFORMALITIES LETTER  
ASSIGNMENT FROM ADVANCED SURFACE ENGINEERING  
TO INNOVATIVE MATERIALS, INC.

**FILED:** May 29, 2002

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**In re Patent Application of: TODD EVAN SCHLESINGER**  
**For: MUSICAL INSTRUMENT STRINGS WITH POLYMER TREATED SURFACE**  
**Filed: November 26, 2001**

**Serial No.: 10/002,789      Art Unit: N/A      Examiner: N/A**

**TRANSMITTAL LETTER**

May 29, 2002

Honorable Commissioner of Patents and Trademarks  
Patent and Trademark Office  
Washington, D.C. 20231


Sir:

Transmitted for filing in the above-referenced case are:

Informalities Letter, Petition for Extension of Time and  
Substitute Drawings in Response  
Assignment from Advanced Surface Engineering to  
Innovative Materials, Inc. and Cover Sheet  
Check in the amount of \$516  
Certificate of Mailing  
Return Postcard

Authorization to charge our Deposit Account is enclosed.

Respectfully submitted,

  
\_\_\_\_\_  
Max Stahl Oppenheimer  
Reg. No. 33,203  
P. O. Box 50  
Stevenson, MD 21153  
(410) 494-4237**CERTIFICATE OF MAILING**

I HEREBY CERTIFY that on the date indicated above, the above-listed document(s) or fee(s) are being mailed by first class mail, postage prepaid to the Commissioner of Patents and Trademarks, Washington DC 20231.

  
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